# ICS 421 Spring 2010 <br> Relational Algebra \& SQL 

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## Formal Relational Query Languages

- Query languages: Allow manipulation and retrieval of data from a database.
- Two mathematical Query Languages form the basis for "real" languages (e.g. SQL), and for implementation:
- Relational Algebra: More operational, very useful for representing execution plans.
- Relational Calculus: Lets users describe what they want, rather than how to compute it. (Non-operational, declarative.)
- Query Languages != programming languages!
- QLs not expected to be "Turing complete".
- QLs not intended to be used for complex calculations.
- QLs support easy, efficient access to large data sets.


## Example Relational Instances

- "Sailors" and "Reserves" relations for our examples.
- We'll use positional or named field notation, assume that names of fields in query results are
`inherited’ from names of
fields in query input relations

| R1 | sid | bid | day |
| ---: | ---: | :--- | :--- |
| 22 | 101 | $10 / 10 / 96$ |  |
| 58 | 103 | $11 / 12 / 96$ |  |



## Relational Algebra

- Basic operations:
- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product $(x)$ Allows us to combine two relations.
- Set-difference (-) Tuples in reln. 1, but not in reln. 2.
- Union (U) Tuples in reln. 1 and in reln. 2.
- Additional operations:
- Intersection, join, division, renaming: Not essential, but (very!) useful.
- Since each operation returns a relation, operations can be composed! (Algebra is "closed".)


## Projection

- Deletes attributes that are not in projection list.
- Schema of result contains exactly the fields in the projection list, with the same names that they had in the (only) input relation.
- Projection operator has to eliminate duplicates! (Why??)
- Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it. (Why not?)

TT sname, rating (S2)

| sname | rating |
| :--- | :--- |
| Yuppy | 9 |
| Lubber | 8 |
| Guppy | 5 |
| Rusty | 10 |

TT age (S2)

## Selection

- Selects rows that satisfy selection condition.
- No duplicates in result! (Why?)
- Schema of result identical to schema of (only) input relation.
- Result relation can be the input for another relational algebra operation! (Operator composition.)

$$
\sigma_{\text {rating }>}(\mathrm{S} 2)
$$

| sid | sname | rating | age |
| :---: | :--- | :--- | :--- |
| 28 | Yuppy | 9 | 35.0 |
| 31 | Lublver | 0 | 55.5 |
| 14 | Cuppy | 5 | 35.0 |
| 14 | Rusty | 10 | 35.0 |
| 58 |  |  |  |

TT sname, rating ( $\sigma_{\text {rating>8 }}(\mathrm{S} 2)$ )


## Union, Intersection, Set-Difference

- All of these operations take two input relations, which must be union-compatible:
- Same number of fields.
- `Corresponding' fields have the same type.
- What is the schema of result?

| S1 | sid | sname | rating | age |
| :--- | :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45.0 |  |
| 31 | Lubber | 8 | 55.5 |  |
| 58 | Rusty | 10 | 35.0 |  |

## S1 U S2

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45.0 |
| 28 | Yuppy | 9 | 35.0 |
| 31 | Lubber | 8 | 55.5 |
| 44 | Guppy | 5 | 35.0 |
| 58 | Rusty | 10 | 35.0 |


|  | sid | sname | rating | age |
| :--- | :--- | :--- | :--- | :--- |
| S2 | 28 | Yuppy | 9 | 35.0 |
|  | Lubber | 8 | 55.5 |  |
|  | Guppy | 5 | 35.0 |  |
| 58 | Rusty | 10 | 35.0 |  |

## Intersection \& Set-Difference

S1 $\cap \mathbf{S 2}$

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 31 | Lubber | 8 | 55.5 |
| 58 | Rusty | 10 | 35.0 |


| S1 | sid | sname | rating | age |
| :--- | :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45.0 |  |
| 31 | Lubber | 8 | 55.5 |  |
| 58 | Rusty | 10 | 35.0 |  |

## S1-S2

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45.0 |


|  | sid | sname | rating | age |
| :--- | :--- | :--- | :--- | :--- |
| S2 | 28 | Yuppy | 9 | 35.0 |
| 31 | Lubber | 8 | 55.5 |  |
| 44 | Guppy | 5 | 35.0 |  |
| 58 | Rusty | 10 | 35.0 |  |

## Cross-Product

- Consider the cross product of S1 with R1
- Each row of S 1 is paired with each row of R1.
- Result schema has one field per field of S1 and R1, with field names `inherited’ if possible.
- Conflict: Both S1 and R1 have a field called sid.
- Rename to sid1 and sid2


| S1 $\times$ R1 |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| sid | sname | rating | age | sid | bid | day |
| 22 | Dustin | 7 | 45 | 22 | 101 | $10 / 10 / 96$ |
| 22 | Dustin | 7 | 45 | 58 | 103 | $11 / 12 / 96$ |
| 31 | Lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | Lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | Rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | Rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

## Renaming

- The expression:

$$
\rho(C(1 \rightarrow \operatorname{sid} 1,5 \rightarrow \text { sid2 }), S 1 \times R 1)
$$

- Renames the result of the cross product of S1 and R1 to "C"
- Renames column 1 to sid1 and column 5 to sid2
$\rho(\mathrm{C}(1 \rightarrow$ sid1, $5 \rightarrow$ sid2), S1 $\times$ R1 )

| sid1 | sname | rating | age | sid2 | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45 | 22 | 101 | $10 / 10 / 96$ |
| 22 | Dustin | 7 | 45 | 58 | 103 | $11 / 12 / 96$ |
| 31 | Lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | Lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | Rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | Rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

## Joins

- Condition Join: $R \bowtie{ }_{c} S=\sigma_{c}(R \times S)$
- Result schema same as that of cross-product.
- Fewer tuples than cross-product, might be able to compute more efficiently
- Sometimes called a theta-join.

| Sl |  |  |  |  |  | Sl.sid $<$ Rl.sid |  |  |  |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- | :---: | :---: | :---: |
| sid | sname | rating | age | sid | bid | day |  |  |  |
| 22 | Dustin | 7 | 45 | 58 | 103 | $11 / 12 / 96$ |  |  |  |
| 31 | Lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |  |  |  |

## Equi-Joins \& Natural Joins

- Equi-join: A special case of condition join where the condition c contains only equalities.
- Result schema similar to cross-product, but only one copy of fields for which equality is specified.
- Natural Join: Equi-join on all common fields.

$$
S 1 \bowtie_{\text {sid }} R 1
$$

| sid | sname | rating | age | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45 | 101 | $10 / 10 / 96$ |
| 58 | Rusty | 10 | 35.0 | 103 | $11 / 12 / 96$ |

## Q1: Find names of sailors who've reserved boat \#103

Solution 1: $\quad \pi_{\text {sname }}\left(\left(\sigma_{\text {bid=103 }}\right.\right.$ Reserves $) \bowtie$ Sailors $)$

Solution 2: $\rho\left(\right.$ Templ, $\sigma_{\text {bid }=103}$ Reserves $)$

$$
\begin{aligned}
& \rho(\text { Temp } 2, \text { Temp } 1 \bowtie \text { Sailors }) \\
& \pi_{\text {sname }}(\text { Temp } 2)
\end{aligned}
$$

Solution 3: $\quad \pi_{\text {sname }}\left(\sigma_{\text {bid }=103}(\right.$ Reserves $\bowtie$ Sailors $\left.)\right)$

## Q2: Find names of sailors who've reserved a red boat

- Information about boat color only available in Boats; so need an extra join:
$\pi_{\text {sname }}\left(\left(\sigma_{\text {color }}={ }^{\prime}\right.\right.$ red ${ }^{\prime}$ Boats $) \bowtie$ Reserves $\bowtie$ Sailors $)$
- A more efficient solution:
$\pi_{\text {sname }}\left(\pi_{\text {sid }}\left(\left(\pi_{\text {bid }} \sigma_{\text {color }}=\right.\right.\right.$ red ${ }^{\prime}$ Boats $\left.) \bowtie \operatorname{Res}\right) \bowtie$ Sailors $)$

Q5: Find sailors who've reserved a red or a green boat

- Can identify all red or green boats, then find sailors who've reserved one of these boats:
$\rho\left(\right.$ Tempboats, $\left(\sigma_{\text {color }}=\right.$ red ${ }^{\prime} \vee$ color $=$ 'green' ${ }^{\prime}$ Boats $\left.)\right)$
$\pi_{\text {sname }}($ Tempboats $\bowtie$ Reserves $\bowtie \triangleleft$ Sailors $)$
- Can also define Tempboats using union! (How?)
- What happens if $\vee$ is replaced by $\wedge$ in this query?

Q6: Find sailors who've reserved a red

## and a green boat

- Previous approach won’t work! Must identify sailors who've reserved red boats, sailors who've reserved green boats, then find the intersection (note that sid is a key for Sailors):
$\rho$ (Tempred, $\pi_{\text {sid }}\left(\left(\sigma_{\text {color }\lrcorner^{\prime} \text { red }}\right.\right.$ Boats $) \bowtie$ Reserves $\left.)\right)$
$\rho\left(\right.$ Tempgreen, $\pi_{\text {sid }}\left(\left(\sigma_{\text {color }} \xlongequal{\prime}\right.\right.$ green' ${ }^{\prime}$ Boats $) \bowtie$ Reserves $\left.)\right)$
$\pi_{\text {sname }}(($ Tempred $\cap$ Tempgreen $) \bowtie$ Sailors $)$


## Basic SQL Query

## SELECT [ DISTINCT ] target-list FROM relation-list WHERE qualification

- relation-list A list of relation names (possibly with a range-variable after each name).
- target-list A list of attributes of relations in relation-list
- qualification Comparisons (Attr op const or Attr1 op Attr2, where $o p$ is one of $<,>, \leq, \geq,=, \neq)$ combined using AND, OR and NOT.
- DISTINCT is an optional keyword indicating that the answer should not contain duplicates. Default is that duplicates are not eliminated!


## Example Q1

SELECT S.sname FROM Sailors S, Reserves R WHERE S.sid=R.sid AND bid=103

Without range variables

## SELECT sname FROM Sailors, Reserves WHERE Sailors.sid=Reserves.sid AND bid=103

- Range variables really needed only if the same relation appears twice in the FROM clause.
- Good style to always use range variables


## Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy: 1. Compute the cross-product of relation-list.

2. Discard resulting tuples if they fail qualifications.
3. Delete attributes that are not in target-list.
4. If distinct is specified, eliminate duplicate rows.

- This strategy is probably the least efficient way to compute a query! An optimizer will find more efficient strategies to compute the same answers.


## Example Q1: conceptual evaluation

## SELECT S.sname FROM Sailors S, Reserves R WHERE S.sid=R.sid AND bid=103

| S.sid | sname | rating | age | R.sid | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | Dustin | 7 | 45 | 22 | 101 | $10 / 10 / 96$ |
| 22 | Dustin | 7 | 45 | 58 | 103 | $11 / 12 / 96$ |
| 31 | Lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | Lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | Rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | Rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |
| S.sid | sname | rating | age | R.sid | bid | day |
| 58 | Rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

Conceptual Evaluation Steps:

1. Compute cross-product
2. Discard disqualified tuples
3. Delete unwanted attributes
If DISTINCT is specified, eliminate duplicate rows.

sname<br>Rusty

